

Docket No. 291599US0X PCT

10/583097

14920 Docketed 16 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ulrike LICHT, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/14327

GAU:

FILED: Herewith

EXAMINER:

FOR: PIGMENTS SHEATHED WITH POLYADDITION PRODUCTS, METHOD FOR THEIR PRODUCTION AND USE THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) TRADEMARK OFFICE		U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 291599US0X PCT		SERIAL NO. 10/583097	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Ulrike LICHT, et al.			
FILING DATE Herewith				GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	2002/032242	ANTONIETTI et al.				
	AB	3 905 929	NOII				
	AC	3 920 598	REIFF et al.				
	AD	3 412 054	MILLIGAN, Charles L. et al.				
	AE	5 108 654	RAGAINI				
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO			
	AF	196 36 382	DE				NO
	AG	521 429	CH (equivalent of US3 560 235)				NO
	AH	02/50197	WO				NO
	AI	0 622 378	EP (equivalent of US5 990 245)				NO
	AJ	1 026 185	EP (equivalent of US6 376 637)				NO
	AK	1 167 413	EP (equivalent of US6 632 914)				NO
	AL	39 11 827	DE (equivalent of US5 135 963)				NO
	AM	1 008 380	EP (equivalent of US6 530 684)				NO
	AN	2 250 930	GB				NO
	AO	197 56 874	DE (equivalent of US 6 515 030)				NO
	AP	02/064657	WO (with English abstract & equivalent of US2004/0077777)				NO
	AQ	0 392 352	EP (equivalent of US 5 135 963)				NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AR	TIARKS, Franca et al., "Encapsulation of Carbon Black by Miniemulsion Polymerization", Macromol. Chem. Phys., Vol. 202, No. 1, Pages 51-60, 2001.					
	AS	BECHTHOLD N. et al., "Miniemulsion Polymerization: Applications and New Materials", Macromol. Symp. Vol.151, Pages 549-555, 2000.					
	AT	ELVERS, Barbara et al., "Ullmann's Encyclopedia of Industrial Chemistry", Vol. A21, 5 <sup>th</sup> edition, Pages 228-230.					
	AU	KENNEDY, J.P. et al., "Carbocationic Macromolecular Engineering", Hanser Verlag, Page 177, 1982.					
	AV	"Ullmanns Encyklopadie der technischen Chemie, 4 edithion, Vol 19, Pages 311-313.					
	AW	HOUBEN-WEYL, "Methoden der Organischen Chemie", Vol. XIV/1, Georg-Thieme-Verlag Stuttgart, Pages 192-208, 1961.					
	AX	HOUBEN-WEYL, "Methoden der Organischen Chemie", Vol. XIV/1, Georg-Thieme-Verlag Stuttgart, Pages 411-420, 1961.					
	AY	BLANK, Werner J. et al., "Catalysis of the isocyanate-hydroxyl reaction by non-tin catalysts", Progress in Organic Coatings, Vol. 35, Pages 19-29, 1999.					
	AZ	STATHER, F., "Gerbereichemie und Gerbereitechnologie", Akademie Verlag Berlin, Pages 507-632, 1967.					
	AAA	ELVERS, Barbara et al., "Ullmann's Encyclopedia of Industrial Chemistry", Verlag Chemie, Vol. A26, 5 <sup>th</sup> edithion, Pages 286-301, 1996.					
	AAB	SMITH, Brent et al., "Ink Jet Printing for Textiles", Text. Chem. Color, Vol. 19, No. 8, Pages 23-29, 1987.					
	AAC	GRAHAM, L.A. et al., "Ink Jet Systems for Dyeing and Printing of Textiles", Text. Chem. Color., Vol. 21, No. 6, Pages 27-32, 1989.					
	AAD	TIARKS, Franca et al., "One-Step preparation of Polyurethane Dispersions by Miniemulsion Polyaddition", Polym. Sci. Chem. Ed., Vol. 39, Pages 2520-2524, 2001.				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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AP20 Rec'd PCT/PTO 16 JUN 2006

Ulrike LICHT, et al.

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#### STATEMENT OF RELEVANCY

- 1) References AA & AF - AH have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AB - AE, AI - AQ & AR - AAD are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.